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Unconstitutionality of Government-Funded Foreign Humanitarian Aid from the Originalist Perspective

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COMMENT

UNCONSTITUTIONALITY OF GOVERNMENT-FUNDED FOREIGN HUMANITARIAN AID FROM THE ORIGINALIST PERSPECTIVE

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I. INTRODUCTION

Virtually nonexistent until the aftermath of World War II, government-funded foreign humanitarian aid has become a significant part of United States foreign policy. The United States allocates billions of dollars to foreign humanitarian aid annually. In fiscal year 2011, the United States obligated approximately $31.7 billion dollars in bilateral economic assistance. In calendar year 2011, the United States disbursed $3.7 billion through multilateral organizations.


4. An obligation is “[a] binding agreement that will result in outlays, immediately or in the future.” Id.

Following the State Department’s conceptual “framework,” the Congressional Research Service separates American foreign aid into “five strategic objectives.”10 “Humanitarian [aid]” is one of those objectives.11 This Note’s definition of humanitarian aid is broader than the one used by the Congressional Research Service. Rather, this Note defines humanitarian aid as unilateral transfers of U.S. resources (funds, goods, and services) by the U.S. Government to or for the benefit of foreign entities (including international and regional organizations) without any reciprocal payment or transfer of resources from the foreign entities . . . . [It] is not just confined to funds or commodities, [but] also includes the provision of technical assistance, capacity building, training, education, and other services, as well as the direct costs required to implement foreign assistance.12


7. Disbursements are the amounts the U.S. pays through its federal agencies “during the fiscal year to liquidate its obligations.” U.S. OVERSEAS LOANS AND GRANTS: FOREIGN ASSISTANCE FAST FACTS, supra note 5, at 1.

8. 1-A H.R. COMM. ON INT’L REL. & S. COMM. ON FOREIGN REL., 108TH CONG., supra note 5, at 23 (stating that projects involving “large-scale capital transfers” should be disbursed “with contributions from other countries working together in a multilateral framework”). “Multilateral aid serves many of the same objectives as bilateral developmental assistance, although through different channels.” LAWSON & TARNOFF, supra note 1, at 3.


10. LAWSON & TARNOFF, supra note 1, at 3. The five objectives are “Peace and Security; Investing in People; Governing Justly and Democratically; Economic Growth; and Humanitarian Assistance.” Id.; see generally Wiese, supra note 1, at 754 (discussing the evolution of U.S. foreign aid).

11. LAWSON & TARNOFF, supra note 1, at 3, 6 (“Humanitarian assistance responds to both natural and man-made disasters as well as problems resulting from conflict associated with failed or failing states.”).

12. FREQUENTLY ASKED QUESTIONS: GENERAL INFORMATION, FOREIGNASSISTANCE.GOV, http://foreignassistance.gov/FAQ.aspx (last visited Feb. 12, 2013). Humanitarian assistance has also been defined as “assistance to meet humanitarian needs, including needs for food, medicine, medical supplies and
The United States currently provides humanitarian aid in response to “natural and manmade disasters” and conflicts in “failing or failed states.” It also provides foreign humanitarian aid in response to human rights abuses, to “promote economic growth and reduce poverty, ... address[] population growth, expand[] access to basic education and health care, protect[] the environment, promote[] stability in conflictive regions, ... [and to] promote[] trade.”

The constitutional authority to spend taxpayer dollars on foreign humanitarian aid has never been seriously questioned. Most challenges have addressed whether government-funded foreign humanitarian aid is good policy. Rarely is government-funded foreign humanitarian aid analyzed in terms of its constitutionality, as this Note does.

Under the Constitution, Congress only has the authority to spend for the “common defense” and “general welfare” of the United States. The original text of the U.S. Constitution spells “defense” as “defence.” This was the proper spelling when the Constitution was drafted. However, this Note will spell the word with an “s” because that is consistent with its current spelling.

14. LAWSON & TARNOFF, supra note 1, at 6.
15. BLACK’S LAW DICTIONARY 897 (9th ed. 2009).
16. LAWSON & TARNOFF, supra note 1, at 3.
17. See Wiese, supra note 1, at 747.
19. U.S. CONST. art. I, § 8, cl. 1. The original text of the U.S. Constitution spells “defense” as “defence.” This was the proper spelling when the Constitution was drafted. However, this Note will spell the word with an “s” because that is consistent with its current spelling.
and not of general and unlimited powers.” Specifically, the “power to lay taxes for the common defense and general welfare of the United States is not in common sense a general power. It is limited to those objects. It cannot constitutionally transcend them.”

This Note focuses on the unconstitutionality of government-funded foreign humanitarian aid, arguing that foreign humanitarian aid is neither for the “common defense” nor the “general welfare” of the United States. What is for the common defense and general welfare of the United States is limited to those powers enumerated in Article I, Section 8, of the Constitution. Furthermore, even if government-funded foreign humanitarian aid were found not to be limited to those enumerated powers, congressional authority to tax and spend is limited to the common defense and general welfare of the United States. There are three reasons why foreign humanitarian aid does not meet either of these two requirements. First, any connection between bilateral foreign humanitarian aid and the welfare and defense of the United States is unproven and attenuated. Second, there is no connection between foreign humanitarian aid through multilateral organizations and the welfare and defense of the United States. Third, neither bilateral nor multilateral foreign humanitarian aid serves any purpose directly related to the defense and welfare of the United States. Finally, this Note contends that Congress ignores its constitutional limitations when it comes to foreign humanitarian aid chiefly because of the powerful emotions that human suffering elicits. While emotions justify private humanitarian aid, emotions do not justify unconstitutional government spending.

II. METHODS AND CANONS OF CONSTITUTIONAL INTERPRETATION

There are various competing sources of legal textual interpretation. The two interpretive theories that have come to the...
forefront in recent times are Originalism and Evolving Constitutionalism. Originalists restrict constitutional interpretation to the Framers’ words and the assumptions of their era. Evolving Constitutionalists interpret the Constitution to reflect changes in law and society. Both Originalists and Evolving Constitutionalists first look to the text of the Constitution when analyzing whether something is constitutional. Here the similarities in interpretive methods end. If the text’s meaning is unclear, Originalists look to the legal and social tradition of the text’s time. Evolving Constitutionalists do not. Instead, Evolving Constitutionalists look to the prevailing morality or social consensus and “mega-conceptions” of justice of their own time.

Evolving Constitutionalists are critical of Originalism. They claim that the Framers expected the interpretation of their words

26. SMITH & FUSCO, supra note 24, at 44. The era for constitutional interpretation refers to the time the Framers of the text adopted the text. This Note focuses on the Bill of Rights and references the Articles of Confederation. The Framers of the Bill of Rights were James Madison and others in the First Federal Congress in 1789. Id. at 45. The Framers of the Articles of Confederation were the men from the Philadelphia Constitutional Convention in 1777. Id.
27. Id. at 44; see also Landau, supra note 23, at 854-55. Evolving Constitutionalists argue that because the “Framers intended the [Constitution] to serve as a general charter,” “constitutional interpretation must be informed by contemporary norms and circumstances, not simply by its original meaning.” GOODWIN LIU, PAMELA S. KARLAN & CHRISTOPHER H. SCHROEDER, AM. CONST. SOC’Y FOR LAW & POL’Y, KEEPING FAITH WITH THE CONSTITUTION 25 (2009).
28. SMITH & FUSCO, supra note 24, at 45-47.
30. SMITH & FUSCO, supra note 24, at 47.
31. Id.; see also Landau, supra note 23, at 854-55.
32. See Landau, supra note 23, at 852-55.
would evolve over time. This Note demonstrates that this assertion does not find much support in history. For example, Daniel Webster opined that “[w]e must take the meaning of the Constitution as it has been solemnly fixed.” Alexander Hamilton’s writings support his belief in an Originalist approach. William Blackstone was also an

33. ANTONIN SCALIA & BRYAN A. GARNER, READING LAW: THE INTERPRETATION OF LEGAL TEXTS 79 (2012); see also Scalia, supra note 29, at 112. Ron Paul notes that a “living” Constitution is something any government would want because whenever people claimed the government overstepped its constitutional authority, the government could claim the Constitution had evolved. PAUL, supra note 25, at 49; see also Joseph P. Viteritti, A Truly Living Constitution: Why Educational Opportunity Trumps Strict Separation on the Voucher Question, 57 N.Y.U. ANN. SURV. AM. L. 89, 89 (2000) (quoting Justice William Brennan as saying that “[T]he genius of the Constitution rests not in any static meaning it might have had in a world that is dead and gone, but in the adaptability of its great principles to cope with current problems and current needs”) (alteration in original); LIU ET AL., supra note 27, at 25 (noting that Evolving Constitutionalists believe the Constitution was intended to “grow and evolve” to reflect the changing “conditions, needs, and values of our society”).

34. Daniel Webster served in Congress and was Secretary of State in both the early 1840s and early 1850s. PAUL, supra note 25, at 56.

35. SCALIA & GARNER, supra note 33, at 80 (quoting DANIEL WEBSTER, THE WORKS OF DANIEL WEBSTER 164 (1851)).

36. THE FEDERALIST NO. 83 (Alexander Hamilton) (“The rules of legal interpretation are rules of common sense, adopted by the courts in the construction of the laws. The true test, therefore, of a just application of them is its conformity to the source from which they are derived.”); see also THE FEDERALIST NO. 84 (Alexander Hamilton) (“For why declare that things shall not be done which there is no power to do? Why, for instance, should it be said that the liberty of the press shall not be restrained, when no power is given by which restrictions may be imposed? I will not contend that such a provision would confer a regulating power; but it is evident that it would furnish, to men disposed to usurp, a plausible pretense for claiming that power. They might urge with a semblance of reason, that the Constitution ought not to be charged with the absurdity of providing against the abuse of an authority which was not given, and that the provision against restraining the liberty of the press afforded a clear implication, that a power to prescribe proper regulations concerning it was intended to be vested in the national government.”). These quotations demonstrate Hamilton’s Originalist stance. He was worried that a Bill of Rights would result in people interpreting the Constitution so as to grant the federal government powers it did not have. After all, why grant freedoms (e.g., through a Bill of Rights), if the federal government does not have the power to restrain those freedoms?
Originalist. As were James Madison, Cesare Beccaria, Supreme Court Justice David Brewer, Chief Justice Roger Taney, Thomas Jefferson, and Supreme Court Justice Hugo Black.

37. William Blackstone (1723-1780) was an 18th-century English jurist. Scalia & Garner, supra note 33, at 79-80; see also Sir William Blackstone, Encyclopedia Britannica, available at http://www.britannica.com/EBchecked/topic/68589/Sir-William-Blackstone (last visited Mar. 29, 2013). Blackstone’s belief in Originalism was evident when he discussed an 11th-century law that forbid all “ecclesiastical persons to ‘purchase provisions at Rome.’” Scalia & Garner, supra note 33, at 79-80 (quoting 1 William Blackstone, Commentaries on the Laws of England 60 (4th ed., 1770)). Blackstone noted that those words “‘might seem to prohibit the buying of grain or other victual.’” Id. However, such an interpretation is incorrect because “provisions” in the eleventh century referred to ecclesiastical-office appointments. Id. Therefore, giving “provision” any other meaning would be incorrect. Id.

38. James Madison, one of the Constitution’s architects and an author of the Bill of Rights, questioned how laws could be fixed in their meaning and operation if the meaning of the Constitution was not. Scalia & Garner, supra note 33, at 80 (quoting Letter from James Madison to C.E. Haynes (Feb. 25, 1821)).

39. “Cesare Beccaria, the . . . son of an Italian nobleman, published a short treatise, Dei delitti e delle pene, that was translated into English as On Crimes and Punishments.” John D. Bessler, Revisiting Beccaria’s Vision: The Enlightenment, America’s Death Penalty, and the Abolition Movement, 4 NW. J.L. & SOC. POL’Y 195, 196 (2009). He influenced many thinkers, including the American Founding Fathers as his writings “profoundly shaped the country’s founding era and the Bill of Rights.” Id. at 207.

When the code of laws is once fixed, it should be observed in the literal sense, and nothing more is left to the judge, than to determine, whether an action be, or be not conformable to the written law. When the rule of right, which ought to direct the actions of the philosopher, as well as the ignorant, is a matter of controversy, not of fact, the people are slaves to the magistrates.


40. See South Carolina v. United States, 199 U.S. 437, 448 (1905) (“The Constitution is a written instrument. As such its meaning does not alter. That which it meant when adopted, it means now.”).

41. See Scott v. Sandford, 60 U.S. 393, 425-26 (1856) (“[A]s it is a Government of special, delegated, powers, no authority beyond . . . can be constitutionally exercised.”).

42. See George Frater, Our Humanist Heritage 126-27 (2010) (“The Constitution on which our Union rests, shall be administered by me [as President] according to the safe and honest meaning contemplated by the plain understanding of the people of the United States at the time of its adoption — a meaning to be
Furthermore, if the Constitution were intended to evolve, including an amendment to change the Constitution would be superfluous. For example, the Thirteenth and Nineteenth Amendments would have been unnecessary. In the words of Alexander Hamilton, “the present Constitution is the standard to which we are to cling. Under its banners, . . . must we combat our political foes—rejecting all changes but through the channel itself provides for amendments.”

According to some, Originalism is the only method of interpretation compatible with democracy. Giving legal texts new meaning is changing the law. Another word for change is amend.

found in the explanations of those who advocated, not those who opposed it, and who opposed it merely lest the construction should be applied which they denounced as possible.” (quoting Letter from Thomas Jefferson to Mesrs. Eddy, Russel, Thurber, Wheaton and Smith (Mar. 27, 1801)). Thomas Jefferson’s Originalist stance is important because Jefferson was the principal author of the Declaration of Independence. The fact that Congress appointed Jefferson as one of five men to draft this document is demonstrative of the respect Jefferson engendered.


44. See U.S. CONST. amend. V. Evolving Constitutionalists deny this assertion. Citing changes to population and technology as examples, they claim that, because the process of amending the Constitution is lengthy and difficult, it is unrealistic to expect this process to keep up with the frequent changes to U.S. society. See STRAUSS, supra note 43, at 8-9.

45. If the Constitution were intended to evolve with society, constitutional amendments would have been unnecessary to give African Americans and women the right to vote. See SCALIA & GARNER, supra note 33, at 80-81.


47. SCALIA & GARNER, supra note 33, at 82-85.

48. Id. at 82.
To amend the Constitution, however, requires compliance with the Fifth Amendment. For these reasons, and because Evolving Constitutionalists believe the Constitution “must keep changing in its application or lose even its original meaning,” this Note largely focuses on the Originalist method of interpreting the Constitution.

Consistent with the Originalist method of interpretation is the use of interpretative canons. These interpretative canons are not found within any one source. Rather, they are gathered from various legal sources. A canon of construction “guides the interpreter of a text on some phase of the interpretive process.” Some of these canons will be used throughout this Note to interpret relevant parts of the Constitution to show that Congress does not have the constitutional authority to spend tax revenue for foreign humanitarian aid.

III. GRAMMAR AND USAGE DEMONSTRATE CONGRESSIONAL AUTHORITY TO TAX AND SPEND IS LIMITED TO ENUMERATED PURPOSES

Government-funded foreign humanitarian aid is unconstitutional because it does not fall within the enumerated powers of Congress, which limit what is for the general welfare and common defense.

49. BLACK’S LAW DICTIONARY 94 (9th ed. 2009) (defining “amend” as “[t]o change the wording of; specif., to formally alter . . . by striking out, inserting, or substituting words.”).

50. See PAUL, supra note 25, at 45-46 (discussing the Founders’ concern that there would always be temptation to take more power than the Constitution authorized and the time consuming process of amending the Constitution increased this temptation to just take the power without amending the Constitution); see also U.S. CONST. amend. V.


52. SCALIA & GARNER, supra note 33, at 78-79.

53. Id. at 9 (discussing that this book is arguably the first modern attempt to compile and arrange valid canons and explain their validity).

54. Id.

55. Id. at 426.

56. See e.g., PAUL, supra note 25, at 44 (noting that Article I, Section 8 provides an exhaustive list of congressional powers).
This conclusion is supported by the grammar and usage of Article I, Section 8, of the Constitution.\textsuperscript{57}

Semicolons may be used “between items in a list that already involve commas.”\textsuperscript{58} The use of the semicolons in Article I, Section 8, “preserves the unity of the clause” and the “true intention of the parties to the Constitution.”\textsuperscript{59} It preserves the clause’s unity because it unites two parts in one substantive clause.\textsuperscript{60} The first part of the clause gives Congress the power “[t]o lay and collect Taxes, Duties, Imposts and Excises” and is called the “first” part of a “single” clause.\textsuperscript{61} The second part limits and explains what is in the general welfare and for the common defense of the United States.\textsuperscript{62} The limiting and explanatory nature of the second part of the clause is thus supported by the clause’s structure. The remainder of Section 8 is separated into clauses that are divided by semicolons.\textsuperscript{63} This creates a list of enumerated powers that explain what is for the common defense and in the general welfare. Had the Framers, therefore, intended to separate the first clause from the following enumerated powers, they would have used more than a semicolon.\textsuperscript{64}

After the initial section that gives Congress the power to tax and spend, the enumerated powers are listed and each power begins with “To.”\textsuperscript{65} This shows that these enumerated powers are explanations of what is in the common defense and for the general welfare.\textsuperscript{66} If taxing and spending for the general welfare and common defense were meant to stand alone, Section 8 would have included these two things as part

\begin{itemize}
\item \textsuperscript{57} U.S. CONST. art. I, § 8, cl. 1.
\item \textsuperscript{59} Letter from James Madison to Andrew Stevenson (Nov. 27, 1830), \textit{in THE FOUNDER’S CONSTITUTION} (Philip B. Kurland & Ralph Lerner eds., 2000).
\item \textsuperscript{60} \textit{Id.}; U.S. CONST. art. I, § 8, cl. 1.
\item \textsuperscript{61} Letter from James Madison to Andrew Stevenson, \textit{supra} note 59.
\item \textsuperscript{62} James Madison, \textit{The Bank Bill} (Feb. 2, 1791), \textit{in THE FOUNDER’S CONSTITUTION}, (Philip B. Kurland & Ralph Lerner eds., 2000).
\item \textsuperscript{63} U.S. CONST. art. I, § 8, cl. 1.
\item \textsuperscript{64} \textit{See THE FEDERALIST NO. 41} (James Madison).
\item \textsuperscript{65} U.S. CONST. art. I, § 8, cl. 1.
\item \textsuperscript{66} James Madison, \textit{supra} note 62.
\end{itemize}
of the list. For example, Section 8 would have begun by giving Congress the power to tax without including that this power is to “provide for the common Defense and general Welfare.”67 Rather, if what is in the general welfare and for the common defense is not limited to the enumerated powers that follow, it would have been included as another enumerated power in the list.68

Furthermore, not limiting congressional power to tax and spend to these listed purposes would give Congress the equivalent of unlimited power and the listing would therefore have been unnecessary.69 If not limited to those enumerated powers, Congress could tax and spend for reasons other than to pay debts or for the general welfare and common defense of the United States.70 Congress would not be confined to its enumerated powers and could interfere with powers reserved to the states.71 Instead, Congress would simply be able to justify any actions in excess of those enumerated as in the general welfare and for the common defense of the United States.72

If these terms were not limited to the succeeding enumerated powers, the terms would be unnecessary.73 There would be no reason to include the enumerated powers if the terms “common [d]efense” and “general [w]elfare” were general terms not limited to those enumerated powers.74 “For what purpose could the enumeration of powers be inserted, if these and all others were meant to be included in the preceding general power?”75 Therefore, the proper way to look at these terms is as limits on congressional power to tax and spend. “Nothing is more natural nor common than first to use a general

68. See James Madison, supra note 62.
69. Id.; PAUL, supra note 25, at 47 (noting that there would be no point of listing the enumerated powers in Article I, Section 8 if they were to be included within the broad definition of “general welfare”).
70. See James Madison, supra note 62. It would have been unnecessary to include the subjoined “To” clauses if those clauses were not meant to define what was in the general welfare or for the common defense of the United States. Id.
71. Id.
72. THE FEDERALIST NO. 41 (James Madison).
73. James Madison, supra note 62.
74. THE FEDERALIST NO. 41 (James Madison); see also PAUL, supra note 25, at 47.
75. THE FEDERALIST NO. 41 (James Madison).
phrase, and then to explain and qualify it by a recital of particulars.\textsuperscript{76} As such, what is in the general welfare and for the common defense is limited by the section’s enumerated powers.\textsuperscript{77}

This argument is consistent with the Originalist belief that the delegates at the Constitutional Convention would not have given Congress unbridled discretion.\textsuperscript{78} If not limited to those enumerated powers, Congress would have so much discretion that it would have the equivalent of unlimited power. Congress could arguably tax and spend for any purpose it justified as being for the general welfare and common defense of the United States.\textsuperscript{79} This argument that congressional power to tax and spend is limited to those enumerated powers is supported by Jefferson’s belief that a proposed federal law was unconstitutional if not listed among the powers granted to Congress in Article I, Section 8.\textsuperscript{80}

The argument that the delegates and ratifiers of the Constitution would not have given Congress unbridled discretion is further supported by the “peculiar” structure of the federal government.\textsuperscript{81} The government “combines an equal representation of unequal

\begin{itemize}
  \item \textsuperscript{76} Id.
  \item \textsuperscript{77} See id.
  \item \textsuperscript{78} See e.g., \textsc{The Federalist} No. 84 (Alexander Hamilton) (noting that bills of rights historically served to limit a government’s otherwise unlimited power, which was unnecessary with the U.S. Constitution because it is “founded upon the power of the people . . . [and where] . . . the people surrender nothing; and as they retain every thing, they have no need of particular reservations”). Hamilton opined that bills of rights were unnecessary to the U.S. Constitution because “[t]hey would contain various exceptions to powers not granted.” For example, Hamilton thought a provision granting freedom of the press was unnecessary because the Constitution did not give the federal government the power over the press. \textit{Id}.
  \item \textsuperscript{79} See \textsc{Paul}, supra note 25, at 48 (referencing Patrick Henry’s concern when the ratification of the Constitution was being debated in Virginia). Henry was concerned that the government could do anything it claimed was in the general welfare. \textit{Id}. In response, constitutional supporters noted this phrase did not authorize such broad meaning. \textit{Id}.
  \item \textsuperscript{80} \textit{Id}. at 45. Jefferson is quoted as saying that “‘Congress has not unlimited powers to provide for the General Welfare, but only those specifically enumerated.’” \textsc{George M. Stephens, Locke, Jefferson & the Justices: Foundations and Failures of the US Government} 99 (2002); \textit{see also} Thomas Jefferson (1743-1826), \textit{supra} note 42 (discussing why Jefferson’s opinion is highly relevant to what the Framers intended when drafting and ratifying the Constitution).
  \item \textsuperscript{81} Letter from James Madison to Andrew Stevenson, \textit{supra} note 59.
\end{itemize}
numbers in one branch of the Legislature . . . an equal representation of equal numbers in the other” and “invests the Government with selected powers only.” These peculiarities were intended to be safeguards against government persecution of minorities or institutions. The peculiar structure of the government proves that the ratifiers were apprehensive of “abuse from ambition or corruption.” Therefore, it is unreasonable to suggest that these same men would allow the government to have unbridled discretion in determining what was in the general welfare or for the common defense of the United States.

Furthermore, the Framers would not have used the terms “general welfare” and “common defense” if they were not limited to the enumerated powers that followed those phrases. If not limited, they would be general terms. It is hard to conclude that such general terms would be used in the Constitution, when the Framers were otherwise so precise.

Limiting what is in the general welfare and for the common defense of the United States to those enumerated powers is also supported by the lack of attention the Framers paid to these terms. Framers who were particularly fearful of a strong central government never addressed the terms “general welfare” or “common defense.”

82. Id.
83. Id.
84. Id.
85. Id.
86. Letter from James Madison to Andrew Stevenson, supra note 59; PAUL, supra note 25, at 47 (quoting Madison as saying that “[w]ith respect to the words general welfare, I have always regarded them as qualified by the detail of powers connected with them. To take them in a literal and unlimited sense would be a metamorphosis of the Constitution into a character which there is a host of proofs was not contemplated by its creators”).
87. Letter from James Madison to Andrew Stevenson, supra note 59. For example, Article I, Section 8, specifically authorizes Congress to tax “for the Erection of Forts, Magazines, arsenals.” U.S. CONST. art. I, § 8, cl. 16. These provisions would be for the common defense of the United States. Therefore, if “common defense” was intended to encompass anything Congress could arguably say was for the common defense of the United States, it is nonsensical to suggest that the delegates would have included these specific examples. Rather, it is more likely that these examples were specifying what was for the common defense. Letter from James Madison to Andrew Stevenson, supra note 59.
“[d]efense.”88 “[F]or it exceeds the possibility of belief, that the known advocates in the Convention for a jealous grant and cautious definition of Federal powers should have silently permitted the introduction of words or phrases in a sense rendering fruitless the restrictions and definitions elaborated by them.”89

A majority of the proposed amendments at the Constitutional Convention were to “circumscribe the powers granted to the General Government, by explanations, restrictions, or prohibitions.”90 At least seven states proposed one hundred twenty-six amendments for this purpose.91 These states “saw [the] danger in terms and phrases employed in some of the most minute and limited of the enumerated powers.”92 However, not one state addressed the terms “common defense” and “general welfare” as a source of concern.93 It therefore follows that the ratifying states believed the terms were “explained and limited, as in the ‘Articles of Confederation,’ by the enumerated powers which followed them.”94

IV. CONGRESSIONAL AUTHORITY TO TAX AND SPEND IS LIMITED TO THE “COMMON DEFENSE” AND “GENERAL WELFARE OF THE UNITED STATES”

Congress has the power to “lay and collect [t]axes, [d]uties, [i]mposts and [e]xcises, to pay the [d]ebts and provide for the common [d]efense and general [w]elfare of the United States.”95 This section of the Constitution gives Congress the power to tax and appropriate.96 These are words of limitation and would not have been used if they were “meaningless.”97

88. Id.
89. Id.
90. Id.
91. Id.
92. Letter from James Madison to Andrew Stevenson, supra note 59.
93. Id.
94. Id.
97. Id.
The terms “general [w]elfare” and “common [d]efense” were not novel to the Constitution. These terms were carried over from the Articles of Confederation. They “were regarded in the new as in the old instrument, merely as general terms, explained and limited by the subjoined specifications.” One way the Constitution altered the Articles of Confederation was by providing the federal government the means to generate revenue. However, the Constitution did not alter the purpose of that revenue and the terms “general [w]elfare” and “common [d]efense” were maintained.

The following sections will show that the grammar and usage of Article I, Section 8, Clause 1, as well as the intent of the Constitution’s Framers and ratifiers, was to limit Congress’ taxing and spending powers to the common defense and general welfare of the United States. They will also show that foreign humanitarian aid is neither for the common defense nor the general welfare of the United States.

A. Grammar and Usage Demonstrate Congressional Authority to Tax and Spend Is Limited to the “Common Defense” and “General Welfare” of the United States

“Words are to be given the meaning that proper grammar and usage would assign them.” In the words of Chief Justice Warren, the Supreme Court does not “regard ordinary principles of English prose as irrelevant to a construction of [congressional] enactments.” This canon and Chief Justice Warren’s insight show that the use of semicolons and the structure of Section 8 limit Congress’ authority to

98. James Madison, supra note 62 (“These terms are copied from the articles of confederation . . . “).
99. ARTICLES OF CONFEDERATION of 1781, art. VIII, para. 1; Letter from James Madison to Andrew Stevenson, supra note 59.
100. Letter from James Madison to Andrew Stevenson, supra note 59.
102. Letter from James Madison to Andrew Stevenson, supra note 59.
104. SCALIA & GARNER, supra note 33, at 140.
tax and spend for the common defense and general welfare of the United States.\textsuperscript{106} 

The first session of the First Federal Congress further demonstrates that the terms “common [d]efense” and “general [w]elfare” were meant to limit congressional power.\textsuperscript{107} The representatives’ discussion of proposed constitutional amendments demonstrated their desire to limit the federal government’s power.\textsuperscript{108} However, no amendment or proposal was made regarding the terms “common [d]efense” and “general [w]elfare.”\textsuperscript{109} It is unreasonable that men who “[criticized] and combated” the “many inferior and minute powers” in the Constitution would ignore these terms if they imposed “unlimited taxes for unlimited purposes.”\textsuperscript{110} Rather, by these terms, taxes were limited to providing for the common defense and general welfare of the United States.\textsuperscript{111} 

Consistent with the lack of concern of the constitutional delegates and the First Congress, there was a lack of reliance on these terms to justify congressional action.\textsuperscript{112} For example, the First Bank of the United States\textsuperscript{113} was justified as being

of primary importance to the prosperous administration of the finances, and . . . of the greatest utility in the operations connected with the support of the Public Credit . . . which will entitle it to the

\begin{footnotesize}
\begin{itemize}
\item \textsuperscript{106} James Madison, \textit{supra} note 62.
\item \textsuperscript{107} Letter from James Madison to Andrew Stevenson, \textit{supra} note 59.
\item \textsuperscript{108} \textit{Id.}
\item \textsuperscript{109} \textit{Id.}
\item \textsuperscript{110} \textit{Id.}
\item \textsuperscript{111} \textit{Id.;} Justice Story also noted that Congress may only tax for the common defense and general welfare of the United States. 3 JoSEPH Story, ComMENtAries on the ConStItuTion of the uniTeD States 381-82 (1833), aVailAble AT http://www.constitution.org/js/js_314.htm.
\item \textsuperscript{112} See infra text accompanying notes 114-25.
\end{itemize}
\end{footnotesize}
confidence, and be likely to render it equal to the exigencies, of the public.\textsuperscript{114}

Hamilton noted the importance of a national bank “in dangerous and distressing emergencies.”\textsuperscript{115} It is noteworthy that Hamilton did not support these justifications as being for the welfare or defense of the United States.\textsuperscript{116} Rather, he relied on the Constitution’s concern with protecting property.\textsuperscript{117} In his opinion, the First Bank would attract foreign capital and provide consistency and stability.\textsuperscript{118}

Similarly, prior to the adoption of the Constitution, Representative Wilson justified the constitutionality of a national bank in 1785 from the text of Article V of the Articles of Confederation, “that for the more convenient management of the general interests of the United States, delegates shall be annually appointed to meet in congress.”\textsuperscript{119} Wilson looked at a national bank as a way of managing the nation.\textsuperscript{120} He believed the Articles of Confederation authorized a national bank because an “institution for circulating paper, and establishing its credit over the whole United States” was within the “general powers” and in the “general interests of the United States.”\textsuperscript{121} Wilson was “justly distinguished for his intellectual powers” and was “deeply impressed with the importance of a bank at such a crisis.”\textsuperscript{122} However, Madison

\begin{flushleft}
\textsuperscript{115} Id. at 4.
\textsuperscript{116} Id. at 4-9.
\textsuperscript{117} See id.
\textsuperscript{118} Id. at 20.
\textsuperscript{119} JAMES WILSON, CONSIDERATIONS ON THE BANK OF NORTH AMERICA (1785), reprinted in 1 JAMES WILSON, COLLECTED WORKS OF JAMES WILSON 64 (Kermit L. Hall & Mark David Hall eds., 2007), available at http://files.libertyfund.org/files/2072/Wilson_4140_EBk_v6.0.pdf (quoting ARTICLES OF CONFEDERATION OF 1781, art. V, para. 1). Representative Wilson was only one of six individuals who signed both the Declaration of Independence and the U.S. Constitution. Id. at 2-3 (discussing Wilson’s impact on the founding of the United States).
\textsuperscript{120} Id. at 64.
\textsuperscript{121} Id.
\textsuperscript{122} Letter from James Madison to Andrew Stevenson, supra note 59.
\end{flushleft}
found Wilson’s justification for a national bank “particularly worthy of notice” because it shed light on the proper interpretation of the common defense and general welfare provisions in both the Articles of Confederation and later the U.S. Constitution. Wilson justified a national bank from the “nature” of the “union” and the “tenor of the ‘Articles of Confederation’ themselves,” without considering the “terms ‘common defen[s]e and ‘general welfare’ as a source” of that power.

Wilson’s lack of reliance on the terms “common defense” and “general welfare” is of particular importance because, while pre-Constitution, the terms of “general welfare” and “common defense” were carried over from the Articles of Confederation to the Constitution. Thus, the rationales provided by both Hamilton and Wilson would arguably work for the general welfare and common defense of the United States. However, neither Hamilton nor Wilson relied on these terms to justify a national bank. This lack of reliance shows the limitations of the “general [w]elfare” and “common [d]efense” provisions.

B. Foreign Humanitarian Aid Is Not for the “General Welfare” or “Common Defense” of the United States

The Constitution should be interpreted as reflecting “what an informed, reasonable member of the community would have understood at the time of adoption according to then-prevailing linguistic meaning and interpretive principles.” One limitation on Congress’ authority is the qualifier that taxing and spending be for the common defense and general welfare “of the United States.”

The Journals of the Continental Congress repeatedly reference the general welfare clause being for the general welfare of the United States.

123. Id.
124. Id.
125. Id.
126. See supra text accompanying notes 113-26.
States and its citizens.\textsuperscript{129} With phrases such as “of these States”; “frontier inhabitants”; “common benefit of the Union”; “the people of these United States, by whose will, and for whose benefit the federal government was instituted”; and “the interest and welfare of those whom [Congress] represent[s],” there is no question that the general welfare was of the United States and its citizens.\textsuperscript{130}

Congress largely ignores this limitation that taxing and spending be for the general welfare and common defense of the United States. This is evident in the language of congressional legislation. For example, the Foreign Assistance Act of 1961 states that a

principal objective of the foreign policy of the United States is the encouragement and sustained support of the people of developing countries in their efforts to acquire the knowledge and resources essential to development and to build the economic, political, and social institutions which will improve the quality of their lives.\textsuperscript{131}

American history shows that foreign humanitarian aid was not considered to be for the general welfare or in the common defense of the United States.\textsuperscript{132} In 1794, the House of Representatives addressed the constitutionality of federal spending on humanitarian grounds.\textsuperscript{133} French refugees fled to the United States in response to the French Revolution.\textsuperscript{134} Maryland’s legislature requested that the federal


\textsuperscript{130} Id.; Alexander Hamilton also noted the necessity of the Constitution to advance the “safety and welfare of the parts of which it is composed.” THE FEDERALIST NO.1 (Alexander Hamilton).

\textsuperscript{131} 1-A H.R. COMM. ON INT’L REL. & S. COMM. ON FOREIGN REL., supra note 5, at 19 (emphasis added).

\textsuperscript{132} See infra text accompanying notes 135-50.

\textsuperscript{133} 4 ANNALS OF CONG. 169-73 (1794). Though the discussion concerned humanitarian aid within the United States, it applied to federal spending on humanitarian causes in general, both domestic and foreign. Id.

In response, James Madison “acknowledged . . . he could not undertake to lay his finger on that article in the Federal Constitution which granted a right to Congress of expending, on objects of benevolence, the money of their constituents.”

Madison distinguished the British Constitution from the U.S. Constitution. He stated that the British Constitution gave the British Parliament an “indefinite and absolute right in disposing of the money of their constituents.” In contrast, the House of Representatives did not “possess an undefined authority correspondent with that of a British Parliament.” Therefore, while Madison sympathized with the French emigrants, he believed Congress simply did not have the authority to grant them aid.

Representative Giles also doubted that such spending was legal. He disagreed with those representatives who would authorize spending based on “humanity.” Giles stated that the proper question was not whether such spending was humane. Rather, the question was whether, “under the Constitution, we have a right to make such grant?”

Justice Story also believed foreign humanitarian aid was unconstitutional. Story provides examples of expenditures that

135. 4 ANNALS OF CONG. 169 (1794).
136. Id. at 170. James Madison “wished to relieve the sufferers, but was afraid of establishing a dangerous precedent, which might . . . be perverted to the countenance of purposes very different from those of charity.” Id.
137. Id. at 171 (citing an instance when the British Parliament authorized one hundred thousand pounds to support Lisbon after an earthquake).
138. Id. at 171-73 (noting that the various state legislatures had “more extensive” “power over the purses of their constituents” than is afforded to Congress under the U.S. Constitution). Madison noted that “[h]e was satisfied that the citizens of the United States possessed an equal degree of magnanimity, generosity and benevolence, with the people of Britain, but this House certainly did not possess an undefined authority correspondent with that of a British Parliament.” Id.
139. Id. at 170-72.
140. Id. at 173.
141. Id.
142. Id.
143. Id.; see also Reid v. Covert, 354 U.S. 1, 6 (1957) (noting that the government may only act within constitutional limitations).
144. See 3 STORY, supra note 112, at 381-82.
were not for the common defense or in the general welfare of the United States.\footnote{145} He notes that purposes “wholly extraneous”\footnote{146} to the common defense or general welfare of the United States included “giving aids and subsidies to a foreign nation.”\footnote{147} According to Story, such foreign aid and subsidies were “wholly indefensible upon constitutional principles.”\footnote{148}

Despite this history and the lack of connection between foreign humanitarian aid and the common defense and general welfare of the United States, Congress spends billions of dollars on foreign humanitarian aid every year.\footnote{149} Foreign humanitarian aid is justified for reasons that include promoting economic growth and reducing poverty; improving governance; addressing population growth; expanding access to basic education and health care; protecting the environment; promoting stability in conflict regions; protecting human rights; curbing weapons proliferation; strengthening allies; and addressing drug production and trafficking.\footnote{150} Those justifications that are related to democratizing foreign countries are arguably the most related to the general welfare and common defense of the United States.

Much of the time, proponents of foreign humanitarian aid do not attempt to link these justifications to the common defense or general
welfare of the United States.151 When they do attempt to make this connection, the connections are inadequate because proponents do not explain how foreign humanitarian aid is for the welfare and defense of the United States.152 Any cause-and-effect between the aid and the desired result is unproven and attenuated.153


1. Any Connection between Bilateral Foreign Humanitarian Aid to the Welfare and Defense of the United States Is Unproven and Attenuated

Proponents of foreign humanitarian aid claim this aid helps the democratization of recipient countries.154 Democratic countries are seen as U.S. allies,155 most recently in the War on Terror.156 Therefore, a common argument is that foreign humanitarian aid promotes the general welfare and common defense of the United States by limiting potential adversaries and strengthening allies.157 Evidence from history does not give this proposition much support.158

For several reasons, foreign humanitarian aid has little or no favorable effect on democratizing foreign countries.159 One reason is


155. Id. at 8; but cf. id. at 10 (citing JOANNE GOWA, BALLOTS AND BULLETS 113 (1999)). Gowa argues that history does not support the so-called “democracy peace theory.” Id. at 10. Rather, she attributes the so-called “democracy peace theory” to similar interests and the “bipolar balance in the world after World War II.” Id. Gowa also notes that “democratic peace [was] a Cold War phenomenon . . . limited to the years between 1946 and 1980.” Id.


157. See FPI Analysis: Foreign Aid Advances U.S. Security, Prosperity, and Global Leadership, FOREIGN POLICY INITIATIVE (Feb. 25, 2013), http://www.foreignpolicyi.org/content/fpi-analysis-foreign-aid-advances-us-security-prosperity-and-global-leadership; see also Chakravarty, supra note 157 at 326 (arguing that “[b]y supporting development goals, such as educating governments, building up civil institutions, and buttressing the economic infrastructure, as well as humanitarian goals, such as reducing poverty, increasing food assistance, and providing access to basic medical care, the United States[’] interests will slowly, but deeply, be reinforced in the psyche of the citizens of the recipient countries”).

158. For example, the U.S. government has provided foreign aid to Pakistan for over 20 years. Adelman, supra note 2, at 65. Despite this aid, “anti-American sentiment” in Pakistan has not diminished. Id.

that “most aid goes to [the foreign] government[] [rather than the actual people in need], which “‘strengthen[s] the role of the government in [the economy compared] to the private sector.’”160

Democracy is not likely to result when the government controls much of the economy.161 Because foreign humanitarian aid strengthens the government’s role in the economy, and because strong government involvement in the economy hinders democratization, foreign humanitarian aid does little to bring democracy to foreign countries.162

Foreign humanitarian aid is, furthermore, an ineffective tool for democratization, despite the often futile conditions that usually accompany this aid.163 Aid and the conditions on it may weaken government accountability and the rule of law,164 Government accountability and the rule of law are two important foundations of democracy,165 Aid also “can reinforce ‘presidentialism.’”166 “Presidentialism” occurs “in new democracies [when a] weak legislature provid[es] few checks on the president and cabinet, which dominate political decision-making.”167 Conditions on aid can give the executive branch of recipient countries the power to “‘exact

http://papers.ssrn.com/sol3/papers.cfm?abstract_id=260047 (noting that even aid from USAID, which directs aid towards countries progressing towards democratization, has no significant association with democratization); see also Katherine Erbeznik, Note, Money Can’t Buy You Law: The Effects of Foreign Aid on the Rule of Law in Developing Countries, 18 IND. J. GLOBAL LEGAL STUD. 873 (2011).

161. Id.
162. See id. at 1 (noting that successful democratizing programs are rare).
164. Knack, supra note 160, at 5-6.
165. Id.
166. Id. at 6.
167. Id. (citing DEBORAH BRAUTIGAM, AID DEPENDENCE & GOVERNANCE 29 (2000)); see also Djankov et al., supra note 154, at 11.
concessions from their legislatures.”

This results in a shift of power within recipient countries “that distort the constitutionally established system of checks and balances” that are required in a democracy.

2. Any Connection between Multilateral Foreign Humanitarian Aid to the Welfare and Defense of the United States Is Virtually Nonexistent

Foreign humanitarian aid through multilateral agencies is also ineffective and similarly does not promote the defense and welfare of the United States. Such aid is ineffective partly because “[m]ultilateral donors such as the World Bank are generally precluded by their charters from explicitly advocating or promoting democratization.” Therefore, multilateral agencies are not allowed to further the one purpose of aid that is at least arguably related to the defense and welfare of the United States.

In addition to this limitation, multilateral agencies have a history of ineffectiveness. For example, the World Bank spent $180 million dollars on the Chad-Cameroon oil pipeline. The World Bank tried to prevent corruption by conditioning the pipeline on Chad’s promising to spend the revenue on education, health, and infrastructure. This did not happen. Once the Chad government started receiving revenue from the pipeline, it “reneged on its deal with the World Bank,” “weakened the regulation” that allocated “most of its oil revenue to . . . poverty reduction programs,” and “diverted” some of the revenue towards arms acquisitions.

168. Knack, supra, note 160 at 6 (quoting INT’L. FIN. INST. ADVISORY COMM’N, FINAL REPORT TO THE JOINT ECONOMIC COMMITTEE (2000)).
169. Id. (quoting INT’L. FIN. INST. ADVISORY COMM’N, FINAL REPORT TO THE JOINT ECONOMIC COMMITTEE (2000)). See generally Erbeznik, supra note 160 (discussing in detail how foreign aid negatively affects reform efforts).
171. E.g., Djankov et al., supra note 154, at 7.
172. Id.
173. Id.
174. Id.
175. Id. at 7-8.
Similarly, the United Nations (U.N.) gave food aid to Somalia in the early 1990s. It was not effective. Indeed, “[d]uring the famine of 1991 and 1992, warlords and militiamen looted a substantial amount of the food distributed by aid groups . . . .” Evidence suggests that this looting resulted in Somalia’s civil war and subsequent fighting over which faction would control the aid. Despite this, USAID recently announced $20 million in new American food aid to Somalia.

Thus, any connection between multilateral foreign humanitarian aid to the welfare and defense of the United States is virtually nonexistent for two reasons. First, multilateral organizations are generally precluded from promoting democratization, which is arguably the most related justification to the defense and welfare of the United States. And, second, foreign humanitarian aid through multilateral organizations has proven ineffective.

3. Neither Bilateral nor Multilateral Humanitarian Foreign Aid Serves Any Purpose Directly Related to the Defense and Welfare of the United States

Whether through bilateral or multilateral methods, foreign humanitarian aid does not plausibly contribute to the defense or welfare of the United States. Democratization is the only congressional justification for spending on foreign humanitarian aid that comes close to being for the common defense and general welfare of the United States. However, most multilateral agencies are


177. Nestle & Dalton, supra note 177, at 19; West, supra note 177; Djankov et al., supra note 154, at 8; Knack, supra note 160, at 6.

178. West, supra note 177.

179. Djankov et al., supra note 154, at 8; Knack, supra note 160, at 6.


precluded from promoting or advocating democratization, and the previously mentioned evidence shows that bilateral and multilateral aid are ineffective.

Examples of these propositions in action are the fairly recent events in the West Bank and Egypt. The United States has spent billions of dollars in bilateral and multilateral foreign humanitarian aid for the West Bank and Gaza Strip alone. Instead of promoting democracy, both Egypt and the West Bank “elected” anti-U.S. governments. The Muslim Brotherhood took power in Egypt and Hamas took control of the Palestinian Legislative Council in the West Bank. Each of these newly elected governments is anti-United States. Therefore, any positive connection between the

183. See supra text accompanying notes 155-81.
184. JIM ZANOTTI, CONG. RESEARCH SERV., RS22967, U.S. FOREIGN AID TO THE PALESTINIANS 26 (2013), available at http://www.fas.org/sgp/crs/mideast/RS22967.pdf (noting that, since “the mid-1990s, the U.S. government has committed more than $4 billion in bilateral assistance to the Palestinians ... who are among the largest per capita recipients of foreign aid worldwide”).
185. Bureau of Counterterrorism, Foreign Terrorist Organizations, U.S. DEP’T OF STATE (SEPT. 28, 2012), http://www.state.gov/j/ct/rls/other/des/123085.htm (noting that Hamas was designated a foreign terrorist organization by the State Department on October 8, 1997); Erick Stakelbeck, Muslim Brotherhood: A Global Terrorist Influence, CBN NEWS (Feb. 1, 2011), http://www.cbn.com/cbnnews/world/2011/february/muslim-brotherhood-a-global-terrorist-influence/ (noting that Hamas considers itself the “Palestinian branch” of the Muslim Brotherhood); Mary Crane, Does the Muslim Brotherhood Have Ties to Terrorism?, COUNCIL ON FOREIGN REL. (April 5, 2005), http://www.cfr.org/egypt/does-muslim-brotherhood-have-ties-terrorism/p9248 (noting that while Egypt’s Muslim Brotherhood is not listed by the U.S. State Department as a terrorist organization, Hamas, Jamaat al-Islamiyya, and al-Qaeda are all designated terrorist organizations with historical and ideological ties with the Egyptian Muslim Brotherhood).
billions in foreign humanitarian aid to the welfare and defense of the United States is questionable at best.

Michael Scheuer, head of the CIA’s Osama bin Laden unit in the late 1990s, expands on this lack of a positive connection between U.S. intervention and the welfare and defense of the United States.\textsuperscript{188} Scheuer notes that bin Laden’s followers are held together by a hatred for U.S. foreign policy.\textsuperscript{189} Thus, rather than humanitarian aid contributing to the welfare and defense of the United States, Scheuer argues the opposite: U.S. foreign humanitarian aid jeopardizes the safety of Americans.\textsuperscript{190}

Robert Pape provides support for this argument.\textsuperscript{191} Pape, a specialist in international security affairs and director of the Chicago Project on Security and Terrorism,\textsuperscript{192} analyzed 462 suicide terrorist attacks conducted between 1980 and 2004.\textsuperscript{193} He noted that rather than religious fundamentalism, the primary motivation of the terrorists he studied was to force democracies out of the terrorists’ “homeland.”\textsuperscript{194} Pape supports this assertion by noting that al-Qaeda followers are ten times as likely to come from countries with a U.S. presence.\textsuperscript{195} These facts demonstrate that U.S. foreign humanitarian aid does not have a positive effect on the welfare and defense of the United States. Rather, it puts U.S. welfare and defense in jeopardy.

The Founding Fathers likely foresaw the negative effect that foreign entanglements would have on the welfare and defense of the

\begin{footnotes}
\footnotetext[188]{PAUL, supra note 25, at 15, 18-19.}
\footnotetext[189]{Id. at 18 (citing Michael Scheuer, Press Conference at the National Press Club (May 2007)).}
\footnotetext[190]{See id. at 18-19 (citing Michael Scheuer, Press Conference at the National Press Club (May 2007)) (noting that Philip Giraldi, a former CIA counterterrorism expert, agrees that the U.S. intervention has the consequence of anti-U.S. sentiment and actions); see also Adelman, supra note 2, at 64 (citing economists as arguing that aid incentivizes governments not to make changes as long as they continue to expect aid from foreign donors).}
\footnotetext[192]{Id.}
\footnotetext[193]{PAUL, supra note 25, at 20. Pape compiled the suicide terrorist attacks into a database and analyzed them for his book, Dying to Win: The Strategic Logic of Suicide Terrorism (2005). Id.}
\footnotetext[194]{Id.}
\footnotetext[195]{Id.}
\end{footnotes}
United States. In his 1801 inaugural address, Jefferson famously stated, “Peace, commerce, and honest friendship with all nations—entangling alliances with none.”\footnote{196} Washington similarly stated that the United States’ “true policy [is] to steer clear of permanent alliances with any portion of the foreign world.”\footnote{197} He believed the United States should work with foreign countries in the commercial arena, but apply its commercial policy equally and impartially without special favors or preferences.\footnote{198} The more involved the United States was with foreign countries, the more the United States’ destiny, peace, and prosperity would become intertwined with the international community.\footnote{199}

V. \textsc{Human Suffering Elicits Emotions that Cause Congress to Ignore its Constitutional Limitations}

If foreign aid is unconstitutional, why has Congress continually allocated billions of taxpayer dollars for it? Human suffering naturally elicits strong emotional responses.\footnote{200} For example, when

\begin{itemize}
  \item \textbf{196.} Paul, \textit{supra} note 25, at 9 (citing President Thomas Jefferson, First Inaugural Address (1801)) (emphasis added).
  \item \textbf{197.} President George Washington, Farewell Address (1796), \textit{in} S. Doc. No. 106-21, at 27 (2000). Washington cited Europe as a prime example of why the United States should maintain as “little political connection as possible” with foreign nations. \textit{Id.} at 26. Europe’s numerous foreign connections meant “she must be engaged in frequent controversies.” \textit{Id.} at 26. Therefore, the United States should limit foreign connections to avoid foreign entanglements. \textit{Id.}
  \item \textbf{198.} Paul, \textit{supra} note 25, at 9 (citing President George Washington, Farewell Address (1796)).
  \item \textbf{199.} \textit{Id.} (citing President George Washington, Farewell Address (1796)). President John Quincy Adams would likely have rejected U.S. membership in international organizations such as the United Nations. \textit{Id.} at 13 (citing John Quincy Adams, Speech to the U.S. House of Representatives on Foreign Policy (July 4, 1821)). Adams stressed that once the United States acted “under other banners than her own,” it would not be able to “extricat[e]” itself. \textit{Id.} (Adams also stated that America “is the champion and vindicator only of her own. She will commend the general cause by the . . . benignant sympathy of her example. [America] knows that by once enlisting under other banners than her own, were they even the banners of foreign independence, [America] would involve herself beyond the power of extrication, in all the wars of interest and intrigue, of individual avarice, envy, and ambition, which assume the colors and usurp the standard of freedom”).
  \item \textbf{200.} See, \textit{e.g.}, infra text accompanying notes 202-33.
\end{itemize}
discussing whether to aid French refugees, Representative Nicholas agreed with both Madison and Giles that he did not know “upon what authority the House were to grant the proposed donation.”\(^{201}\) He stated that while providing this “charity” would be “extremely laudable,” it was beyond congressional authority.\(^{202}\) Despite its unconstitutionality, Nicholas admitted he would vote for granting aid because of the emigrants’ suffering.\(^{203}\) He reaffirmed his belief in the unconstitutionality of the aid when he stated he would have to return to his constituents and “honestly tell them that he considered himself as having exceeded his powers.”\(^{204}\)

Emotions have not always resulted in the disregarding of the Constitution. An example of this occurred in 1796.\(^{205}\) That year much of Savannah, Georgia was destroyed by a fire.\(^{206}\) Though he did not cite Madison, Representative Macon used Madison’s constitutional interpretation to explain why the federal government could not provide aid to Savannah.\(^{207}\) Macon sympathized with Savannah’s residents.\(^{208}\) Although he felt sympathy for them, he “felt as tenderly for the Constitution.”\(^{209}\) The damage was confined to Savannah and was therefore not “general” for purposes of Congress’ spending power.\(^{210}\) Therefore, relief would not be for the “general welfare of the United States.”\(^{211}\) Because the Constitution only authorized relief for the “common defense” or “general welfare,” it

\[^{201}\] ANNAS OF CONG. 172 (1794); see supra notes 134-44.
\[^{202}\] ANNAS OF CONG. 170 (1794); see also Chakravarty, supra note 157, at 310 (“humanitarian and developmental aid “ is a “laudable moral objective . . . that captures the spirit of [Americans]”).
\[^{203}\] ANNAS OF CONG. 172 (1794).
\[^{204}\] Id.
\[^{205}\] 6 ANNAS OF CONG. 1712 (1796).
\[^{206}\] Id.
\[^{207}\] See id. at 1717.
\[^{208}\] Id. Macon’s sympathy for those who were suffering was reminiscent of Madison’s sympathy for the French emigrants two years before.
\[^{209}\] Id.
\[^{210}\] See U.S. CONST. art. I, § 8, cl. 1.
\[^{211}\] Id. (emphasis added).
did not authorize this aid; Macon would therefore not vote to authorize it.\footnote{212}

Several representatives agreed that the federal government could not constitutionally provide aid to Savannah because the government was limited to spending for the “general welfare” and “common defense” of the United States.\footnote{213} For example, Representative Moore noted that individuals could contribute to those suffering in Savannah.\footnote{214} However, it was not constitutional to provide federal relief.\footnote{215} The federal government only has the power that the Constitution gave to it.\footnote{216} Charity simply does not fall within Congress’ spending authority under the “general welfare” clause.\footnote{217}

Proponents of authorizing aid to Savannah cited two examples of when the federal government had provided what they called foreign aid.\footnote{218} The first was when the United States gave aid to French refugees from St. Domingo.\footnote{219} The second was when the United States gave money to the daughters of the Count de Grasse.\footnote{220} However, these cited examples were notably different from the case of the people of Savannah.\footnote{221} For the French refugees, the aid was a

\begin{itemize}
\item \footnote{212} 6 ANNALS OF CONG. 1719 (1796) (Representative Kitchell also doubted the constitutionality as well as the effectiveness of the proposed aid).
\item \footnote{213} Id. at 1719-20 (Representatives Kitchell and Moore agreed aid to Savannah was not general enough to justify aid under Congress’ taxing and spending authority).
\item \footnote{214} Id. at 1718.
\item \footnote{215} Id.
\item \footnote{216} See id. at 1723.
\item \footnote{217} Id. In 1827, Congressman (and Colonel) Davy Crockett addressed the unconstitutionality of federal government charity. STEPHENS, supra note 80, at 100. He noted that individuals have the right to give their money for charitable purposes. Id. However, Congress does not have the same power with the public’s money. Id. President Franklin Pierce similarly believed that government funded charity was unconstitutional. Id. at 100-01. In 1854, he vetoed a bill to “help the mentally ill.” Id. He stated that he “cannot find any authority in the Constitution for public charity. To approve such spending would be contrary to the letter and spirit of the Constitution and subversive to the whole theory upon which the Union of these States is founded.” Id.
\item \footnote{218} See 6 ANNALS OF CONG. 1723-24 (1796).
\item \footnote{219} Id. at 1724.
\item \footnote{220} Id.
\item \footnote{221} 4 ANNALS OF CONG. 169 (1794); 6 ANNALS OF CONG. 1712-27 (1796).
\end{itemize}
loan that was repaid to the United States. In the case of the daughters of the Count de Grasse, the federal government paid in consideration of their father’s past services to the United States. Therefore, these two cases were arguably authorized by Congress’ taxing and spending power.

These historical debates demonstrate how emotions can result in a disregarding of the Constitution. This is likely the reason that foreign aid for humanitarian reasons or in response to natural disasters is the least contested form of foreign aid.

Closely tied with the emotional aspect of humanitarian aid is the argument that the United States has a moral imperative to offer this kind of assistance. Proponents of foreign humanitarian aid argue that foreign humanitarian aid “advances American moral values . . . by saving lives, fighting poverty and hunger, combating infectious diseases like HIV/AIDS, promoting education, and bolstering democratic institutions.” This argument ignores the duty that is owed to support and defend the Constitution of the United States.

As Alexander Hamilton eloquently put it:

What is the most sacred duty, and the greatest source of security in a republic? [T]he answer would be, [a]n inviolable respect for the Constitution and laws—the first growing out of the last . . . .

222. 6 ANNALS OF CONG. 1724 (1796).
225. LAWSON & TARNOFF, supra note 1, at 3.
226. Id.; Wiese, supra note 1, at 763; see, e.g., FPI Analysis, supra note 158 (arguing that foreign aid “advances America’s moral values”).
227. FPI Analysis, supra note 158; see also supra text accompanying notes 161-71 (discussing the ineffectiveness of foreign humanitarian aid in advancing democratization).
228. See Act to Regulate the Time and Manner of Administering Certain Oaths, 1 Stat. 23-24 (1789).
Duty to support the Constitution was important to the Framers of the Constitution. Article VI of the Constitution mandates that senators, representatives, and executive and judicial officers of the federal and state governments take an oath to “support the Constitution of the United States.” It was the first session of the First Congress that specified the time and manner of these oaths.

One’s own idea of morality may not always be supported by the Constitution. For instance, congressional representatives may decide government-funded foreign humanitarian aid is consistent with their own morals. However, the oath public officeholders take upon entering office means that whether an act is consistent with one’s beliefs is irrelevant. The question is whether such an act is constitutionally authorized.

VI. CONCLUSION

John Quincy Adams proclaimed that rather than intervene, the United States needed to respect the sovereignty of other countries. The United States must abstain from intervention, “even when the conflict has been for principles to which she clings as to the last vital


230. See U.S. CONST. art. VI.

231. Id.


233. See 4 ANNALS OF CONG. 173 (1794). “Under the guise of interpreting the Constitution we must take care that we do not import into the discussion our own personal views of what would be wise, just and fitting rules of government to be adopted by a free people and confound them with constitutional limitations.” Twining v. New Jersey, 211 U.S. 78, 106-07 (1908).

234. PAUL, supra note 25, at 12-13 (citing John Quincy Adams, Speech to the U.S. House of Representatives on Foreign Policy (July 4, 1821)).
drop that visits the heart." Adams believed that while the United States "is the well-wisher to the freedom and independence of all. She is the champion and vindicator only of her own." Rather than intervene, the best thing the United States could do is provide an example of true democracy.

Henry Clay reiterated Adams’ thoughts when he stated that the United States should refrain from intervening in the affairs of other countries and instead provide a "model" that other countries could follow. Clay noted that the United States served its own interests and the interests of liberty by avoiding foreign attachments and living according to its democratic values.

Despite the wise words of Adams and Clay, the warnings of our Founding Fathers about foreign entanglements, constitutional limitations, and the unwise policy of foreign intervention, the United States provided 149 countries foreign humanitarian aid in 2011. Unfortunately, neither the Supreme Court nor Congress is likely to stop the unconstitutional practice of foreign humanitarian aid. The Supreme Court is not likely to stop this unconstitutional spending because it has held national security and foreign policy nonjusticiable political questions. Nonjusticiability means that Congress is

235. Id. at 13 (quoting John Quincy Adams, Speech to the U.S. House of Representatives on Foreign Policy (July 4, 1821))
236. Id.
237. Id.
238. Id. at 14 (citing Henry Clay).
239. Id.
241. See e.g., Johnson v. Eisentrager, 339 US 763, 789 (1950) (dismissing claims by enemy aliens that effectively challenged the propriety of US military presence in China); see also Sarnoff v. Connally, 457 F.2d 809 (9th Cir. 1972)
relatively unchecked in its foreign policy. Congress is similarly unlikely to stop this unconstitutional spending. Therefore, because neither Congress nor the Supreme Court is likely to do their respective jobs of upholding the Constitution, it is up to the American people to redress this unconstitutional spending.

Ending the federal funding of foreign humanitarian aid would not mean, however, the end of all foreign humanitarian aid. A “Hudson Institute study found that in 2006, Americans voluntarily contributed three-times” the amount of aid provided by the government. Therefore, proponents of foreign humanitarian aid do not need to worry that ending government-funded foreign humanitarian aid would end all U.S. foreign humanitarian aid. It will only end aid that is taken from Americans in the form of taxes.

(noting that foreign affairs are within the “exclusive province of Congress and the Executive”).


243. See supra Part V (discussing the sympathy factor that contributes to unconstitutional spending).

244. See THE FEDERALIST NO. 33 (Alexander Hamilton).

245. Adelman, supra note 2, at 64 (citing the Hudson Institute’s 2007 Index of Global Philanthropy); see also PAUL, supra note 25, at 102.

246. But cf. Natsios, supra note 2 (arguing that privatizing foreign humanitarian aid is not a sufficient substitution for government-funded foreign humanitarian aid because private aid “does not go to the countries and challenges U.S. government policy makers deem central to U.S. national interest”). Natsios argues that minimal private aid “supports democracy and good governance programs” despite their importance to “build[ing] functioning states in countries coming out of a period of dictatorship.” Id.
The government works for the American people. It is therefore up to the American people to ensure the government is abiding by its constitutional limitations. If proponents of foreign humanitarian aid feel strongly enough about foreign humanitarian aid, they can donate privately or amend the Constitution consistent with the Constitution’s Article V.

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